

**Wallace Mathai-Davis, Ph.D.**

Wallace Mathai-Davis is a financial entrepreneur and has over 35 years of experience as a senior executive and advisor in FinTech, international asset management, with a focus on China, wealth management and merchant banking. He is a multi-national investment professional who has lived in Germany, China, and Chile and speaks three languages. He has been responsible for significant investment businesses and substantial investments in the diverse markets of China, the European Union, Latin America, Asia ex-Japan, and Eastern Europe, in addition to North America.

Wallace is the co-founder, and was Chairman & Managing Member of Quantalytics Research, LLC, with the brand name Quantamize.com. Quantamize was a first-to-market, state of the art, AI & multi-factor quantitative investment research platform. It has been ranked the #1 best retail alternative to a Bloomberg terminal. Quantamize presented individual investors specialized investment ideas, recommendations and analytics for over 10,000 global stocks from 30 countries, concentrated theme-based stock portfolios, numerous options strategies, 3,000 ETFs and 100 cryptos, using advanced AI and multi-factor analytics.

Forbes Global Media Holdings acquired a controlling stake in Quantamize in October of 2019. The firm is being rebranded as Q.ai with expanded product offerings. Q.ai, the rebranded firm, is now recognized as a leader in the FinTech AI movement. Wallace is on the Board of Directors of Q.ai

Wallace has been an early adopter of technology and quantitative solutions in the asset and wealth management industries. He has been involved in a number of successful FinTech initiatives delivering financial services through the use of advanced technologies. Wallace is Chairman of 360 Trading Networks Inc, the North American subsidiary of 360 Treasury Systems (the largest FX ECN in Europe). He was a member of the Supervisory Board of the parent 360 Treasury Systems in Germany for many years and a principal advisor to its CEO. 360T is one of the most successful FinTech companies in Germany. It was sold in October 2015 to the Deutsche Boerse for nearly \$800 million.

Wallace was one of 3 Directors of Regina Pacific International, a China Holding Company (CHC). Regina Pacific is one of the largest non-Chinese landholders in Shanghai with over 5,000 square-feet of "dirt," strategically situated throughout the city. It also owns the 3<sup>rd</sup> largest tannery in China, the Beijing Aquarium, a credit guarantee company, budget hotels, as well as a number of smaller manufacturing companies. For regulatory purposes, Wallace was designated as the finance expert of the board and worked closely with the two company auditors, which were both Big 4 accounting firms.

Wallace co-founded and was co-CEO and Board member of ChinaVest, Ltd., one of the first independent merchant banks chartered in the People's Republic of China. ChinaVest provided cross-border mergers and acquisition advice to Global 1000 companies. Among its clients were IBM, John Deere, Scottish & Newcastle, AIG, Honeywell & TPG to mention a few, in addition to Chinese companies. ChinaVest was among the first non-Chinese advisors to the National Development & Reform Commission of the People's Republic – the highest government body responsible for economic reform in China.

Wallace was the COO, Managing Director, shareholder and a partner for 16 years at OFFITBANK, a leading independent trust bank and asset manager, with 7 regional offices including Hong Kong which he established. He was also the Chairman and CEO of the Offit Investment Fund, a mutual fund complex. Wallace was Co-Head of Emerging Markets, and OFFITBANK was an early investor in the newly emerging securities markets of Latin America, Greater China, and Eastern Europe. He worked directly not only with central banks but also with private banks, corporations, economic development agencies and investors throughout the global regions in which



OFFITBANK had clients or investments. Offitbank was recognized for introducing advanced technologies in investments and client services. Wallace negotiated the sale of OFFITBANK to Wachovia in 1999.

After the sale of OFFITBANK to Wachovia, then the sixth largest bank in the United States, he became a member of the Group Management Committee for Asset Management, Brokerage Services, Wealth Management, Private Banking and Insurance within the bank. In this role, he participated in the development of strategic business plans, new product development, and issues related to operating activities of the group.

Prior to founding ChinaVest, the merchant bank, Wallace joined the Board of Directors of Mercantile Bankshares, at the request of the Chairman, and assumed the responsibilities of Chairman of Investment and Wealth Management of the holding company for the purpose of restructuring the entire business. In addition to serving on the asset/liability and credit committees, Wallace completed two acquisitions, one strategic investment, and increased discretionary assets by twenty-five percent, while completing the restructuring of the entire investment, private banking, and wealth management businesses of the holding company.

Wallace is currently an Advisory Director of Marblegate Asset Management, a specialized distressed securities fund with more than \$1.2B in assets. He has also been a Director of a number of non-traditional investment companies, among them are: Hedge Fund Investment Company (the first independent hedge fund seeder); Acorn Derivatives; Plus Funds; and Albulus Real Estate in Germany. In addition, Wallace has also been an Advisory Director or Advisor to the CEOs of Grisons Peak (a boutique investment bank in the UK), 55 IP (a quantitative provider of risk-managed ETF portfolios), and PZU (the largest financial institution in Poland), to name a few. Wallace was also a Director of Global Decisions Group, which owned Cambridge Energy Associates and MCM Bond and Currency Market Services, which was the largest provider of real-time bond & currency data to the sell side. Wallace worked closely with the CEO of MCM to develop product & distribution. He was also the Chairman of EconoLodges of America, when it was an independent 45,000 room system.

Wallace has been very active in the non-profit sector. He was the Treasurer of the Board of Trustees of the Cathedral of St. John the Divine in New York City, the second largest church in the world, for thirteen years. He was a founding member of the Investment Committee of the internationally recognized Max Planck Foundation in Munich and has been a member of the IFO Institute in Germany for over 25 years. He was also a Director for the Walters Art Museum and was a Director for the Public Education Association for many years. He is also currently a trustee Emeritus of the Johns Hopkins University Institute of Contemporary German Studies, and served for more than a decade, as a member of the Advisory Committee of the Sociology Department of Princeton University.

Wallace was a member of the Graduate Research Faculty of Stony Brook University where he won multiple awards as a professor. He is published in academic journals and regularly speaks on investment markets. Wallace is an economic sociologist and holds a Ph.D. and M.A. from Princeton University. He graduated *summa cum laude* with a B.A. in Philosophy from The University of Notre Dame, where he also held a national Defense Fellowship for Soviet Studies, through which he studied in the Soviet Union.

